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PTO/SB/08a (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Application Number		10676318	
	Filing Date		2003-10-01	
	First Named Inventor	Johnston		
	Art Unit	3624		
	Examiner Name	Colbert		
	Attorney Docket Number	006119.00010		

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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JC	1	20020065755		2002-05-30	Shlafman	
JC	2	20030195822		2003-10-16	Tatge	
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Substitute for form 1449A/PTO			Complete If Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/676,318	
			Filing Date	October 1, 2003	
			First Named Inventor	Johnston	
			Art Unit	3624	
			Examiner Name	T/B/D	
Sheet	1	of	3	Attorney Docket Number	006119.00010

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Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
EC		"X_Trader Platform" [retrieved on 2004/03/18] Retrieved from the Internet URL<http://www.tradingtechnologies.com/blue_xtrader.html	
EC		"X_Trader TT Net" [retrieved on 2003/12/05] Retrieved from the Internet URL<http://www.tradingtechnologies.com/blue_net.html	

Examiner Signature		Date Considered	9-27-07
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	10/676,318
Filing Date	October 1, 2003
First Named Inventor	Scott Johnston, et al
Art Unit	T/B/D
Examiner Name	T/B/D
Attorney Docket Number	006119.00010

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JL		US-6,016,483	01/18/2000	Rickard, et al	
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		US- 6,317,727	11/13/2001	May	
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Examiner Signature	<i>J. Collier</i>	Date Considered	9-27-07
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	2
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Complete If Known

Application Number	10/676,318
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Filing Date	October 1, 2003
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First Named Inventor	Scott Johnston, et al
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Group Art Unit	T/B/D
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Examiner Name	T/B/D
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Attorney Docket Number	006119.00010
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